

PURCHASED WATER ADJUSTMENT - PRIVATELY OWNED COMPANIES

Filing Requirements Checklist

(Applicable Regulation: 807 KAR 5:067)

Case No. _____ Applicant Name _____ Received Date _____ Form Circulation Date _____

Instructions:

- 1) Each division noted by checkmark () is to complete its review and pass on within two days of receipt.
- 2) This form is to list only the specific filing deficiencies as identified in the regulations. If additional information is needed, an information request must be issued.
- 3) Staff member should use initials and list date review is completed.
- 4) Return to Docket Section following review by all divisions.

Reviewed by following Divisions:

Date

Staff Member

| | | | |
|-------|--------------------|-------|-------|
| _____ | Filings | _____ | _____ |
| _____ | Financial Analysis | _____ | _____ |
| _____ | Engineering | _____ | _____ |
| _____ | Legal | _____ | _____ |

Division
Responsible

Law/Regulation

Filing Requirement

Requirement
Met

Waiver
Requested

Approve
Waiver?

| Yes | No | | Yes | No |
|-----|----|--|-----|----|
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807 KAR 5:001:

Filings

Section 8(1)

Full name and post office address of applicant and a reference to the particular provision of law requiring Commission approval.

Filings

Section 8(2)

The original and 10 copies of the application with an additional copy for any party named therein as an interested party.

807 KAR 5:011:

Legal

Section 9(2)

Is copy of public notice included?

| Division Responsible | Law/Regulation | Filing Requirement | Requirement Met | | Waiver Requested | Approve Waiver? | |
|-------------------------|-----------------------|--|--------------------|----|---------------------|--------------------|----|
| | | | Yes | No | | Yes | No |
| | <u>807 KAR 5:067:</u> | | | | | | |
| Legal | Section 2(4) | If copy of public notice is included, did it meet requirements?* | | | | | |
| Financial Analysis | 807 KAR 5:067 | Satisfactorily completed application form? (see attached)** | | | | | |
| | | Write in specific deficiencies on the form: | | | | | |
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* Notice may be by bill insert or by publication once a week for 3 consecutive weeks in a newspaper of general circulation. The notice shall set out the rates proposed to be charged and shall contain the following language: "The rates contained in this notice are the rates proposed by (name of utility). However, the Public Service Commission may order rates to be charged that differ from these proposed rates. Such action may result in rates for consumers other than the rates in this notice."

** Make sure the 12-month period upon which the purchased water adjustment is based ended no later than 90 days prior to date of filing.